

APR 14 2003
PATENT & TRADEMARK OFFICE

2874-8

CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on April 4, 2003.

Signed: Kenneth R. Graham
Kenneth R. Graham

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David M. Rossi

Serial No. 09/880,980

Filed: June 13, 2001

For: *Thermally and Mechanically Stable
Low-Cost High Thermal Conductivity
Structure for Single-Mode Fiber
Coupling to Laser Diode*

Examiner: Rojas, Omar R.

Group Art Unit: 2874

Date: April 4, 2003

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TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the Notice to Office Action mailed November 6, 2002, transmitted herewith are:

- ☒ Amendment Fee Calculation Sheet
- ☒ Petition for Two Month Extension of Time ;
- ☒ Amendment
- ☒ Check in the amount of 247.00 (\$42.00 extra claim fee; 205.00 Extension of Time fee);
- ☒ and our prepaid return postcard.

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-2319 (Account No. A-69888/RMA/KRG(469159-3)).

Respectfully submitted,

Kenneth R. Graham
Kenneth R. Graham, Reg. No. 46,737 for
R. Michael Ananian, Reg. No. 35,050

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Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187
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Facsimile: (650) 494-8771

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AMENDMENT FEE CALCULATION 2003

Complete if Known

Application No.	09/880,980
Filing Date	June 13, 2001
First Named Inventor	David M. Rossi
Group Art Unit	2874
Examiner Name	Rojas, Omar R.
Atty. Docket Number	A-69888/RMA/KRG (469159-3)

Claims as Amended in Response to Office Action dated: November 6, 2002

METHOD OF PAYMENT (Check One)		AMENDMENT FEE CALCULATION (Continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319</u> Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)		3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> Check Enclosed		Large Entity Fee 110 410 930 1,450 1,970 320 320 280 110 110 1,300 1,300 130 180 750	Small Entity Fee 55 205 465 725 985 160 160 140 55 55 650 650 130 180 375	Fee Description Extension for reply within first month Extension for reply within second month Extension for reply within third month Extension for reply within fourth month Extension for reply within fifth month Notice of Appeal Filing a brief in support of an appeal Request for oral hearing Terminal Disclaimer Fee Petition to revive – unavoidable Petition to revive – unintentional Utility/Reissue issue fee (inc. advance copies) Petitions to the Commissioner Submission of IDS Request for Continued Examination (RCE)	Fee Paid 205.00 205.00 247.00
AMENDMENT FEE CALCULATION		1. EXTRA* CLAIM FEES			
Claims Remaining after Amendment	Highest Number Previously Paid for	Present Extra	Fee from Below*	Additional Fee	
Total 63	63	= 0	x	=	0.00
Indep. 6	5	= 1	x	42	= 42.00
First Presentation of Multiple Dependent Claim					=
Subtotal (1)					42.00
*Calculation of Extra Claim Fees					
Large Entity Fee	Small Entity Fee	Fee Description			
18	9	Claims in excess of 20			
84	42	Independent claims in excess of 3			
280	140	Multiple dependent Claim			
84	42	Reissue independent claims over original patent			
18	9	Reissue claims in excess of 20 and over original patent			
Other fee (specify):					
Subtotal (2)					205.00
Total Amount of Payment					247.00

Submitted by:

Name: Kenneth R. Graham

Reg. No.: 46,737

Telephone: 415-781-1989

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CUSTOMER NUMBER **32940**

Signature:

Date: April 4, 2003